

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/964,369	09/28/2001	Kiichiro Iga	108075-00069	2231
7590 06/29/2005			EXAMINER	
ARENT FOX KINTNER PLOTKIN & KAHN, PLLC			YIGDALL, MICHAEL J	
1050 Connecticut Avenue, N.W., Suite 600 Washington, DC 20036-5339			ART UNIT	PAPER NUMBER
			2192	

DATE MAILED: 06/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
Office Action Cummans	09/964,369	IGA, KIICHIRO				
Office Action Summary	Examiner	Art Unit				
	Michael J. Yigdall	2192				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 24 March 2005.						
2a) ☐ This action is FINAL . 2b) ☒ This	This action is FINAL . 2b)⊠ This action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the ments is						
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.				
Disposition of Claims						
4) ☐ Claim(s) 1,3,4,6-9 and 11-18 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1,3,4,6-9 and 11-18 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9) ☐ The specification is objected to by the Examiner. 10) ☑ The drawing(s) filed on 24 March 2005 is/are: a) ☑ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 						
Attachment(s)						
1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)						
Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	Paper No(s)/Mail Da 5) Notice of Informal Page 1 6) Other:	atent Application (PTO-152)				

DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on March 24, 2005 has been entered. Claims 1, 3, 4, 6-9 and 11-18 are pending.

Response to Arguments

2. Applicant's arguments have been fully considered but they are not persuasive.

Applicant contends that Kanzaki neither discloses nor suggests deleting a predetermined absolute branching destination address stored in the buffer circuit when the absolute branching destination addresses fully occupy the buffer circuit, and that Kanzaki neither discloses nor suggests shifting the flag, which is associated with the absolute branching destination address subsequent to the address deletion, to output the absolute branching destination address from the output unit when the flag, which is associated with the absolute branching destination address subsequent to the address deletion, indicates the output of the relative branching destination address (Applicant's remarks, page 15, first paragraph).

Similarly, Applicant contends that Bridges fails to disclose or suggest shifting the flag, which is associated with the absolute branching destination address subsequent to the address deletion, to output the absolute branching destination address subsequent to the address deletion,

indicates the output of the relative branching destination address (Applicant's remarks, page 17, third paragraph).

However, it should be noted that one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981) and *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

As presented in the previous Office action, Bridges discloses a FIFO buffer for storing trace addresses (see, for example, column 4, lines 61-64). Bridges further discloses outputting and thus deleting an address from the FIFO buffer when the buffer is full (see, for example, column 7, lines 18-22). The deleted address is predetermined in that it is the oldest address in the buffer (the "first in" is the "first out"). Similarly, outputting the address from the FIFO buffer comprises shifting the address out of the buffer, given that the buffer is a queue of fixed size (see, for example, column 2, lines 29-40). Kanzaki discloses that the buffer includes both a branching destination address and a status flag (see, for example, column 7, line 63 to column 8, line 6). The status flag is associated with the branching destination address. Therefore, when Bridges shifts the contents of the buffer to output and delete an address, the associated status flag of Kanzaki is likewise shifted, as recited in the claims.

Drawings

3. The objection to the drawings set forth in the previous Office action is withdrawn in view of the replacement sheets filed on March 24, 2005.

Specification

4. The objection to the disclosure set forth in the previous Office action is withdrawn in view of the substitute specification filed on March 24, 2005.

Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. Claims 1, 3, 4, 6-9 and 11-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,633,973 to Kanzaki (art of record, "Kanzaki") in view of U.S. Pat. No. 5,809,293 to Bridges et al. (art of record, "Bridges").

With respect to claim 1 (currently amended), Kanzaki discloses a method for generating trace information of an information processing device (see, for example, the title and abstract), wherein the information processing device includes a processing unit and an interface device (see, for example, column 7, lines 1-9, which shows a CPU and an interface device for output), wherein the processing unit generates operational information when branching occurs during processing (see, for example, column 7, lines 19-26, which shows the CPU generating a control signal when branching occurs), and wherein the interface device has a buffer circuit for receiving the operational information of the branching from the processing unit (see, for example, column

7, lines 1-9, which shows a trace memory or buffer for storing event information), the method comprising the steps of:

(a) receiving only an absolute branching destination as branching address information from the processing unit and storing the absolute branching destination address in the buffer circuit (see, for example, column 7, line 63 to column 8, line 6, which shows storing the branching destination address in the trace memory or buffer, and column 8, line 62 to column 9, line 4, which shows that the branching destination may be received as an absolute address).

Note that it would be apparent to one of ordinary skill in the art that when the branching address information is provided as an absolute address (see, for example, column 8, line 62 to column 9, line 4), the absolute branching destination address is the only such branching address information that would be received.

Kanzaki further discloses the steps of:

(b) generating a flag based on the absolute branching destination address (see, for example, column 7, lines 19-26, which shows generating a status flag based on a branching event); and

Kanzaki discloses generating an absolute branching destination address based on a relative address (see, for example, column 8, lines 38-46), but does not expressly disclose the step of:

(c) generating a relative branching destination address based on the stored absolute branching destination address.

However, the correlation between an absolute address and a relative address is known in the art, and it is known that one such address can be determined based on the other (see, for

example, Kanzaki, column 8, lines 38-46). Kanzaki also teaches that a fewer number of bits are needed to represent a relative address than are needed to represent an absolute address (see, for example, column 8, lines 14-21).

Page 6

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Kanzaki to generate a relative branching destination address based on the stored absolute branching destination address, so as to represent the address using a fewer number of bits, as taught by Kanzaki.

Kanzaki further discloses the step of:

(d) outputting, based on the flag, either one of the absolute branching destination address and the relative branching destination address (see, for example, column 8, lines 14-21, which shows outputting the status flag and the branching destination address).

Kanzaki does not expressly disclose the steps of:

- (e) deleting a predetermined absolute branching destination address stored in the buffer circuit when the absolute branching destination addresses fully occupy the buffer circuit; and
- (f) shifting the flag, which is associated with the absolute branching destination address subsequent to the address deletion, to output the absolute branching destination address when the flag, which is associated with the absolute branching destination address subsequent to the address deletion, indicates the output of the relative branching destination address.

However, Bridges discloses steps (e) and (f) above in terms of a first in, first out queue (see, for example, column 2, lines 29-40) for storing trace address information (see, for example, column 4, lines 61-64). The addresses stored in the FIFO buffer are output to a serialization circuit (see, for example, column 7, line 59 to column 8, line 5). When the FIFO buffer is full,

Application/Control Number: 09/964,369

Art Unit: 2192

the oldest address is shifted and deleted from the queue to output that address (see, for example, column 7, lines 18-22).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to delete a branching destination address from the buffer of Kanzaki and shift the contents of the buffer, which includes an associated status flag (see, for example, column 7, line 63 to column 8, line 6), when the buffer is full so as to output the address and effectively prevent any stalling of the processor, such as taught by Bridges (see, for example, column 8, lines 3-5).

With respect to claim 3 (currently amended), Kanzaki in view of Bridges further discloses the steps of:

- (a) based on the flag, serial-converting either one of the absolute branching destination address and the relative branching destination address (see, for example, Kanzaki, column 8, lines 7-21, which shows outputting the branching destination address sequentially on a 4-bit terminal in tune with clock and synchronization signals, which constitutes serial conversion); and
- (b) outputting the serial-converted branching destination address (see, for example, Kanzaki, column 8, lines 7-21, which shows outputting the branching destination address sequentially or serially on a 4-bit terminal in tune with clock and synchronization signals).

With respect to claim 4 (currently amended), Kanzaki discloses an information processing device (see, for example, the title and abstract) comprising:

(a) a determination circuit for receiving only an absolute branching destination address as branching address information from a processing unit and for comparing a formerly generated absolute branching destination address and a subsequently generated absolute branching

destination address and generating a flag in accordance with comparison result (see, for example, circuit 31 in FIG. 1 and column 7, lines 27-30, which shows determining the address generated by a CPU, and column 7, lines 19-26, which shows generating an associated status flag; also see, for example, column 8, line 62 to column 9, line 4, which shows that the branching destination address may be received from the CPU as an absolute address).

Note that it would be apparent to one of ordinary skill in the art that when the branching address information is provided as an absolute address (see, for example, column 8, line 62 to column 9, line 4), the absolute branching destination address is the only such branching address information that would be received.

Kanzaki further discloses:

- (b) a buffer circuit connected to the determination circuit for sequentially associating the absolute branching destination address with the flag, sequentially storing the associated absolute branching destination address and the flag, and outputting the absolute branching destination address and the flag in order stored (see, for example, trace memory 43 in FIG. 1 and column 7, lines 1-9, which shows a buffer for storing event information; also see, for example, column 7, line 63 to column 8, line 6, which shows storing the branching destination address and the status flag in the buffer, and column 8, lines 14-21, which shows outputting the status flag and the branching destination address, in order); and
- (c) an output circuit connected to the buffer circuit, wherein the output circuit outputs, based on the flag, either one of the absolute branching destination address and the relative branching destination address (see, for example, trace circuit 44 in FIG. 1 and column 8, lines 14-21, which shows outputting the status flag and the branching destination address).

Application/Control Number: 09/964,369

Art Unit: 2192

Kanzaki discloses generating an absolute branching destination address based on a relative address (see, for example, column 8, lines 38-46), but does not expressly disclose the limitation of part (c) above wherein the output circuit is for generating a relative branching destination address based on the stored absolute branching destination address.

However, the correlation between an absolute address and a relative address is known in the art, and it is known that one such address can be determined based on the other (see, for example, Kanzaki, column 8, lines 38-46). Kanzaki also teaches that a fewer number of bits are needed to represent a relative address than are needed to represent an absolute address (see, for example, column 8, lines 14-21).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Kanzaki to generate a relative branching destination address based on the stored absolute branching destination address, so as to represent the address using a fewer number of bits, as taught by Kanzaki.

Kanzaki does not expressly disclose:

(d) a control circuit connected the determination circuit and the buffer circuit, for deleting a predetermined absolute branching destination address stored in the buffer circuit when the absolute branching destination addresses fully occupy the buffer circuit and for shifting the flag, which is associated with the absolute branching destination address subsequent to the address deletion, to output the absolute branching destination address from the output circuit when the flag, which is associated with the absolute branching destination address subsequent to the address deletion, indicates the output of the relative branching destination address.

However, Bridges discloses part (d) above in terms of a first in, first out queue (see, for example, column 2, lines 29-40) for storing trace address information (see, for example, column 4, lines 61-64). The addresses stored in the FIFO buffer are output to a serialization circuit (see, for example, column 7, line 59 to column 8, line 5). When the FIFO buffer is full, the oldest address is shifted and deleted from the queue to output that address (see, for example, column 7, lines 18-22).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to include a control circuit in the system of Kanzaki for deleting a branching destination address from the buffer and for shifting the contents of the buffer, which includes an associated status flag (see, for example, column 7, line 63 to column 8, line 6), when the buffer is full so as to output that address and effectively prevent any stalling of the processor, such as taught by Bridges (see, for example, column 8, lines 3-5).

With respect to claim 6 (currently amended), Kanzaki does not expressly disclose the limitation wherein the control circuit generates relative branching occurrence state information or absolute branching occurrence state information based on the branching occurrence signal and the flag and generates address deletion state information when an address in the buffer circuit is deleted.

However, Bridges discloses the limitations above in terms of generating state information based on a branch occurrence (see, for example, column 8, lines 11-26) and generating state information when an address in the FIFO buffer is output and thus deleted from the buffer (see, for example, column 7, lines 48-56). Such state information enables a user to trace the flow of

execution within the processor, including any branches that have occurred (see, for example, column 5, lines 41-58).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to generate the state information taught by Bridges in the system of Kanzaki, so as to enable a user to trace the flow of execution within the processor.

With respect to claim 7 (original), Kanzaki discloses computing an absolute value based on a formerly generated absolute address and a subsequently generated relative address received from the processing unit (see, for example, column 8, lines 38-46), but does not expressly disclose the limitation wherein the determination circuit computes a relative value between the formerly generated absolute branching destination address which is most recently stored in the buffer circuit and the subsequently generated absolute branching destination address received from the processing unit.

However, the correlation between an absolute address and a relative address is known in the art, and it is known that one such address can be determined based on the other (see, for example, Kanzaki, column 8, lines 38-46). Kanzaki also teaches that a fewer number of bits are needed to represent a relative address than are needed to represent an absolute address (see, for example, column 8, lines 14-21).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Kanzaki to compute a relative branching destination address based on absolute addresses, so as to represent the address using a fewer number of bits, as taught by Kanzaki.

Although Kanzaki further discloses outputting the status flag and the branching destination address (see, for example, column 8, lines 14-21), Kanzaki does not expressly disclose the limitation wherein the determination circuit generates a first flag to output the absolute branching destination address from the output circuit when the relative value is included in a predetermined range, and generates a second flag to output the relative branching destination address from the output circuit when the relative value is not included in the predetermined range.

Page 12

However, flags generated as a result of a computation are well known in the art. For example, it is known that an overflow bit or flag may be set when a computed value is outside of a predetermined range. When the computed value is within the predetermined range, the overflow flag would be cleared, or an alternative flag may be set. An example of such a predetermined range known in the art is the range of values that may be represented using a given number of bits.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to generate flags in the Kanzaki system, so as to indicate, for example, whether the address computation (see, for example, column 8, lines 38-46) resulted in an overflow condition.

With respect to claim 8 (original), Kanzaki further discloses the limitation wherein the output circuit includes:

(a) an absolute address buffer connected to the buffer circuit for storing a first absolute branching destination address received from the buffer circuit (see, for example, column 7, line 63 to column 8, line 6, which shows storing the branching destination address in the trace

memory or buffer; also see, for example, column 8, lines 23-25, which shows storing absolute addresses).

Kanzaki discloses computing an absolute branching destination address by subtracting a second relative address from a first absolute address (see, for example, column 8, lines 38-46), but does not expressly disclose:

(b) a subtraction circuit connected to the absolute address buffer and the buffer circuit for computing an relative branching destination address using the first absolute branching destination address and a second absolute branching destination address, which is next output from the buffer circuit after the first absolute branching destination address.

However, the correlation between an absolute address and a relative address is known in the art, and it is known that one such address can be determined based on the other (see, for example, Kanzaki, column 8, lines 38-46). Kanzaki also teaches that a fewer number of bits are needed to represent a relative address than are needed to represent an absolute address (see, for example, column 8, lines 14-21).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Kanzaki to compute a relative branching destination address based on absolute addresses, so as to represent the address using a fewer number of bits, as taught by Kanzaki.

Kanzaki further discloses:

(c) a relative address buffer connected to the subtraction circuit for storing the relative branching destination address (see, for example, column 7, line 63 to column 8, line 6, which

shows storing the branching destination address in the trace memory or buffer; also see, for example, column 8, lines 23-25, which shows storing relative addresses); and

(d) a serial-conversion circuit connected to the absolute address buffer and the relative address buffer for serial-converting either one of the first absolute branching destination address and the relative branching destination address and for thereafter outputting the serial-converted branching destination address (see, for example, column 8, lines 7-21, which shows outputting the branching destination address sequentially on a 4-bit terminal in tune with clock and synchronization signals, which constitutes serial conversion).

With respect to claim 9 (currently amended), Kanzaki discloses an information processing device (see, for example, the title and abstract) comprising:

- (a) a processing unit for generating a branching occurrence signal, an absolute branching destination address, and a command fetch number each time a branching occurs during processing (see, for example, CPU 2 in FIG. 7 and column 7, lines 19-30, which shows the CPU generating a control signal and a branching destination address when branching occurs, and column 8, line 62 to column 9, line 4, which shows that the branching destination address may be generated as an absolute address; also see, for example, column 8, lines 33-37, which shows generating an opcode or command fetch number);
- (b) a determination circuit connected to the processing unit for receiving only the absolute branching destination address as branching address information from the processing unit and for comparing a formerly generated absolute branching destination address and a subsequently generated absolute branching destination address and generating a flag in accordance with comparison result (see, for example, circuit 31 in FIG. 1 and column 7, lines 27-

30, which shows determining the generated address, and column 7, lines 19-26, which shows generating an associated status flag; also see, for example, column 8, line 62 to column 9, line 4, which shows that the branching destination address may be received from the CPU as an absolute address).

Note that it would be apparent to one of ordinary skill in the art that when the branching address information is provided as an absolute address (see, for example, column 8, line 62 to column 9, line 4), the absolute branching destination address is the only such branching address information that would be received.

Kanzaki further discloses:

(c) a buffer circuit connected to the processing unit and the determination circuit for associating the absolute branching destination address with the flag, sequentially storing the associated absolute branching destination address and the flag, and outputting the absolute branching destination address and the flag in order stored (see, for example, trace memory 43 in FIG. 1 and column 7, lines 1-9, which shows a buffer for storing event information; also see, for example, column 7, line 63 to column 8, line 6, which shows storing the branching destination address and the status flag in the buffer, and column 8, lines 14-21, which shows outputting the status flag and the branching destination address, in order).

Although Kanzaki discloses a command fetch number (see, for example, column 8, lines 33-37), Kanzaki does not expressly disclose the limitation of part (c) above wherein the command fetch number is associated with the absolute branching destination address, sequentially stored, and output in the order stored.

However, Kanzaki does disclose storing an associated status flag with the branching destination address (see, for example, column 7, line 63 to column 8, line 6) and outputting the address and flag in sequential order (see, for example, column 8, lines 14-21), for the purpose of informing an external debugger of a branch trace event (see, for example, column 7, lines 19-26).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to store and output the command fetch number along with the status flag, in the Kanzaki system, for the purpose of providing the additional trace event information to an external debugger.

Kanzaki further discloses:

(d) an output circuit connected to the buffer circuit, wherein the output circuit outputs, based on the flag, either one of the absolute branching destination address and the relative branching destination address (see, for example, trace circuit 44 in FIG. 1 and column 8, lines 14-21, which shows outputting the status flag and the branching destination address).

Kanzaki discloses generating an absolute branching destination address based on a relative address (see, for example, column 8, lines 38-46), but does not expressly disclose the limitation of part (d) above wherein the output circuit is for generating a relative branching destination address based on the stored absolute branching destination address.

However, the correlation between an absolute address and a relative address is known in the art, and it is known that one such address can be determined based on the other (see, for example, Kanzaki, column 8, lines 38-46). Kanzaki also teaches that a fewer number of bits are needed to represent a relative address than are needed to represent an absolute address (see, for example, column 8, lines 14-21).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Kanzaki to generate a relative branching destination address based on the stored absolute branching destination address, so as to represent the address using a fewer number of bits, as taught by Kanzaki.

Although Kanzaki further discloses a command fetch number (see, for example, column 8, lines 33-37), Kanzaki does not expressly disclose the limitation of part (d) above wherein the output circuit outputs the command fetch number.

However, Kanzaki does disclose outputting the branching destination address and a status flag (see, for example, column 8, lines 14-21), for the purpose of informing an external debugger of a branch trace event (see, for example, column 7, lines 19-26).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to output the command fetch number along with the status flag, in the Kanzaki system, for the purpose of providing the additional trace event information to an external debugger.

Kanzaki does not expressly disclose:

(e) a control circuit connected to the processing unit, the determination circuit, and the buffer circuit for deleting a predetermined absolute branching destination address stored in the buffer circuit when the absolute branching destination addresses fully occupy the buffer circuit and for shifting the flag, which is associated with the absolute branching destination address subsequent to the address deletion, to output the absolute branching destination address from the output circuit when the flag, which is associated with the absolute branching destination address

subsequent to the address deletion, indicates the output of the relative branching destination address.

However, Bridges discloses part (e) above in terms of a first in, first out queue (see, for example, column 2, lines 29-40) for storing trace address information (see, for example, column 4, lines 61-64). The addresses stored in the FIFO buffer are output to a serialization circuit (see, for example, column 7, line 59 to column 8, line 5). When the FIFO buffer is full, the oldest address is shifted and deleted from the queue to output that address (see, for example, column 7, lines 18-22).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to include a control circuit in the system of Kanzaki for deleting a branching destination address from the buffer and for shifting the contents of the buffer, which includes an associated status flag (see, for example, column 7, line 63 to column 8, line 6), when the buffer is full so as to output that address and effectively prevent any stalling of the processor, such as taught by Bridges (see, for example, column 8, lines 3-5).

With respect to claim 11 (currently amended), Kanzaki does not expressly disclose the limitation wherein the control circuit generates relative branching occurrence state information or absolute branching occurrence state information based on the branching occurrence signal and the flag and generates address deletion state information when an address in the buffer circuit is deleted.

However, Bridges discloses the limitations above in terms of generating state information based on a branch occurrence (see, for example, column 8, lines 11-26) and generating state information when an address in the FIFO buffer is output, i.e. deleted from the buffer (see, for

example, column 7, lines 48-56). Such state information enables a user to trace the flow of execution within the processor, including any branches that have occurred (see, for example, column 5, lines 41-58).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to generate the state information taught by Bridges in the system of Kanzaki, for the purpose of enabling a user to trace the flow of execution within the processor.

With respect to claim 12 (original), Kanzaki discloses computing an absolute value based on a formerly generated absolute address and a subsequently generated relative address received from the processing unit (see, for example, column 8, lines 38-46), but does not expressly disclose the limitation wherein the determination circuit computes a relative value between the formerly generated absolute branching destination address which is most recently stored in the buffer circuit and the subsequently generated absolute branching destination address received from the processing unit.

However, the correlation between an absolute address and a relative address is known in the art, and it is known that one such address can be determined based on the other (see, for example, Kanzaki, column 8, lines 38-46). Kanzaki also teaches that a fewer number of bits are needed to represent a relative address than are needed to represent an absolute address (see, for example, column 8, lines 14-21).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Kanzaki to compute a relative branching destination address based on absolute addresses, so as represent the address using a fewer number of bits, as taught by Kanzaki.

Although Kanzaki further discloses outputting the status flag and the branching destination address (see, for example, column 8, lines 14-21), Kanzaki does not expressly disclose the limitation wherein the determination circuit generates a first flag to output the absolute branching destination address from the output circuit when the relative value is included in a predetermined range, and generates a second flag to output the relative branching destination address from the output circuit when the relative value is not included in the predetermined range.

However, flags generated as a result of a computation are well known in the art. For example, it is known that an overflow bit or flag may be set when a computed value is outside of a predetermined range. When the computed value is within the predetermined range, the overflow flag would be cleared, or an alternative flag may be set. An example of such a predetermined range known in the art is the range of values that may be represented using a given number of bits.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to generate flags in the Kanzaki system, so as to indicate, for example, whether the address computation (see, for example, column 8, lines 38-46) resulted in an overflow condition.

With respect to claim 13 (original), Kanzaki further discloses the limitation wherein the output circuit includes:

(a) an absolute address buffer connected to the buffer circuit for storing a first absolute branching destination address received from the buffer circuit (see, for example, column 7, line 63 to column 8, line 6, which shows storing the branching destination address in the trace memory or buffer, see also column 8, lines 23-25, which shows storing absolute addresses).

Kanzaki discloses computing an absolute branching destination address by subtracting a second relative address from a first absolute address (see column 8, lines 38-46), but does not expressly disclose:

(b) a subtraction circuit connected to the absolute address buffer and the buffer circuit for computing a relative branching destination address using the first absolute branching destination address and a second absolute branching destination address, which is next output from the buffer circuit after the first absolute branching destination address.

However, the correlation between an absolute address and a relative address is known in the art, and it is known that one such address can be determined based on the other (see, for example, Kanzaki, column 8, lines 38-46). Kanzaki also teaches that a fewer number of bits are needed to represent a relative address than are needed to represent an absolute address (see, for example, column 8, lines 14-21).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Kanzaki to compute a relative branching destination address based on absolute addresses, so as to represent the address using a fewer number of bits, as taught by Kanzaki.

Kanzaki further discloses:

(c) a relative address buffer connected to the subtraction circuit for storing the relative branching destination address (see, for example, column 7, line 63 to column 8, line 6, which

shows storing the branching destination address in the trace memory or buffer; also see, for example, column 8, lines 23-25, which shows storing relative addresses); and

(d) a serial-conversion circuit connected to the absolute address buffer and the relative address buffer for serial-converting the command fetch number, outputting the serial-converted command fetch number, serial-converting either one of the first absolute branching destination address and the relative branching destination address, and outputting the serial-converted branching destination address (see, for example, column 8, lines 7-21, which shows outputting the branching destination address sequentially on a 4-bit terminal in tune with clock and synchronization signals, which constitutes serial conversion).

With respect to claim 14 (currently amended), the claim recites an information processing system that is substantially equivalent to the information processing device of claim 4 (see the rejection of claim 4 above).

With respect to claim 15 (previously presented), Kanzaki further discloses a step of storing the flag in the buffer circuit in association with the absolute branching destination address (see, for example, column 7, line 63 to column 8, line 6, which shows storing the status flag in the trace memory or buffer in association with the branching destination address).

With respect to claim 16 (previously presented), Kanzaki further discloses the limitation wherein the absolute branching destination address is generated by the processing unit (see, for example, column 7, lines 27-30, which shows generating a branching destination address by the CPU, and column 8, line 62 to column 9, line 4, which shows that the branching destination address may by generated by the CPU as an absolute address).

With respect to claim 17 (previously presented), Kanzaki further discloses the limitation wherein the absolute branching destination address is generated by the processing unit each time a branching occurs during processing (see, for example, CPU 2 in FIG. 7 and column 7, lines 19-30, which shows the CPU generating a branching destination address when branching occurs, and column 8, line 62 to column 9, line 4, which shows that the branching destination address may by generated by the CPU as an absolute address).

With respect to claim 18 (previously presented), Kanzaki further discloses the limitation wherein the absolute branching destination address is generated by the processing unit (see, for example, CPU 2 in FIG. 7 and column 7, lines 19-30, which shows the CPU generating a branching destination address, and column 8, line 62 to column 9, line 4, which shows that the branching destination address may by generated by the CPU as an absolute address).

Conclusion

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael J. Yigdall whose telephone number is (571) 272-3707. The examiner can normally be reached on Monday through Friday from 7:30am to 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tuan Q. Dam can be reached on (571) 272-3695. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Application/Control Number: 09/964,369

Art Unit: 2192

Page 24

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MY

Michael J. Yigdall

Examiner

Art Unit 2192

mjy

TUAN DAM